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9/15/02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Heiko H. Ludwig, et al.

Examiner: Kevin S. Parton

Serial No.: 09/433,427

Art Unit: 2153

Filed: November 4, 1999

Docket: 12990

For: METHOD OF INTERCONNECTING
COMPUTERS AND COMPUTER NETWORK

Dated: August 29, 2002

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Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent EP 0793 184 A2, published September 3, 1997;
2. U.S. Patent No. 5,774,661, dated June 30, 1998;
3. Workflow Management Coalition, (1996) "Workflow Management Coalition Workflow Standard-Interoperability",
<http://www.aiim.org/wfmc/mainframe.htm>: 13-14;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on August 29, 2002.

Dated: August 29, 2002


Mishelle Mustafa

09/09/2002 PVESSM 00000105 500510 09433427

01 SEP 2002 100.00 CR

4. E.M. Verharen, et al., (1998) "Introducing Contracting In Distributed Transactional Workflows", Proceedings of the thirty-first Hawaii International Conference on System Sciences (CAT. No. 98TB100216), Kohala Coast: 324-333 Vol. 7;
5. A. Dan, et al., (1998), "The Coyote Project: Framework for Multi-party E-Commerce", Research and Advanced Technology for Digital Libraries. Second European Conference, ECDL '98. Proceedings, Research and Advanced Technology for Digital Libraries: 873-889;
6. Yu Lei, et al., (1998), "Supporting distributed corporate planning through new coordination technologies", Proceedings Ninth International Workshop on Database and Expert Systems Applications: 559-565.

The references were cited in a Search Report received from the European Patent Office, dated June 11, 1999. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c). Applicants submit and authorize the \$180.00 official fee pursuant to § 1.17(p) to be charged to Deposit Acct. No. 50-0510/IBM.

Respectfully submitted,



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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12990

In Re Application Of: **Heiko H. Ludwig, et al.**

Serial No.
09/433,427

Filing Date
November 4, 1999

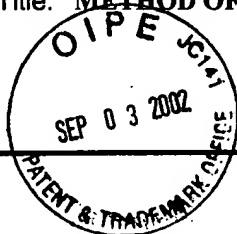
Examiner
Kevin S. Parton

Group Art Unit
2153

Title: **METHOD OF INTERCONNECTING COMPUTERS AND COMPUTER NETWORK**

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Address to:
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37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12990

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$180.00**
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Mishelle Mustafa

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Signature

Dated: August 29, 2002

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